

Subject: NMCI LEGACY APPLICATIONS TRANSITION
PROCESS//

ZNR UUUUU

R 252250Z FEB 02 ZYB

FM CNO WASHINGTON DC//N09T/N1/N2/N3/N4/N6/N7/N8/N093/N095/
N096//

TO RHHMHAA/CINCPACFLT PEARL HARBOR HI//01/N6//

RUCBCLF/CINCLANTFLT NORFOLK VA//01/N6//

RHDLNE/CINCUSNAVEUR LONDON UK//01/N6//

RHRMDAB/COMUSNAVCENT//00/N6/N65/N65B//

RUDJABF/NAVWARCOL NEWPORT RI//00//

RHMFIIU/NAVWARCOL NEWPORT RI//00//

RULSSEA/COMNAVSEASYS COM WASHINGTON DC//00/08B//00I/01/02/03/04/
05/09/53//

RULSABC/USNA ANNAPOLIS MD//00//

RHMFIIU/USNA ANNAPOLIS MD//00//

RUENMED/BUMED WASHINGTON DC//00/CIO//

RHMFIIU/BUMED WASHINGTON DC//00/CIO//

RUCTPOA/CNET PENSACOLA FL//00/N6//

RHMFIIU/CNET PENSACOLA FL//00/N6//

RUCCBWF/BUPERS MILLINGTON TN//00/01EE/014//

RHMFIIU/BUPERS MILLINGTON TN//00/01EE/014//

RULSMSC/COMSC WASHINGTON DC//00/N6//

RHMFIIU/COMSC WASHINGTON DC//00/N6//

RULSFAN/COMNAVAIRSYS COM PATUXENT RIVER MD//00/CIO/PMA-209/
PMA-231/PMA-233/

PMA-234/PMA-257/PMA-260/PMA-264/PMA-271//

RHMFIIU/COMNAVAIRSYS COM PATUXENT RIVER MD//00/CIO/PMA-209/
PMA-231/PMA-233/

PMA-234/PMA-257/PMA-260/PMA-264/PMA-271//

RUCOPAW/COMNAVSAFECEN NORFOLK VA//00//

RHMFIIU/COMNAVSAFECEN NORFOLK VA//00//

RUCCNOM/COMNAVRESFOR NEW ORLEANS LA//00/N6/N62//

RHMFIIU/COMNAVRESFOR NEW ORLEANS LA//00/N6/N62//

RULSADO/COMNAVLEGSVCCOM WASHINGTON DC//00//

RHMFIIU/COMNAVLEGSVCCOM WASHINGTON DC//00//

RULKSDF/COMNAVSECGRU FT GEORGE G MEADE MD//00/N6//

RULSAMX/COMNAVSUPSYS COM MECHANICSBURG PA//00/N6//

RUCOPAQ/COMNAVSUPSYS COM DET NORFOLK VA//00//

RHMFIIU/COMNAVSUPSYS COM DET NORFOLK VA//00//

RUWFADO/NAVSTKAIWARCEN FALLON NV//00//

RULSOCA/CNR ARLINGTON VA//00//

RUWDHFG/COMSPAWARSYS COM SAN DIEGO CA//00/PD16/PMW164/CIO//

RHMFIIU/COMSPAWARSYS COM SAN DIEGO CA//00/PD16/PMW164/CIO//

RUWDXGO/NAVPGSCOL MONTEREY CA//00//

RHMFIIU/NAVPGSCOL MONTEREY CA//00//

RULSADK/COMNAVFACENCOM WASHINGTON DC//00/63//
RHMFIUU/COMNAVFACENCOM WASHINGTON DC//00/63//
RUCBTEV/COMOPTEVFOR NORFOLK VA//00//
RHMFIUU/COMOPTEVFOR NORFOLK VA//00//
RUCXONI/ONI WASHINGTON DC//00/N6//
RUWFAFK/COMNAVSPECWARCOM CORONADO CA//00/N6//
RUENAAA/CHINFO WASHINGTON DC//00//
RHMFIUU/CHINFO WASHINGTON DC//00//
RULSDSA/DIRSSP WASHINGTON DC//00/N6//
RHMFIUU/DIRSSP WASHINGTON DC//00/N6//
RUCCFLE/COMNAVMETOCOM STENNIS SPACE CENTER MS//00/N6//
RHMFIUU/COMNAVMETOCOM STENNIS SPACE CENTER MS//00/N6//
RUDFGHB/COMNAVSPACECOM DAHLGREN VA//00/N6//
RULSACL/NAVOBSY WASHINGTON DC//00//
RHMFIUU/NAVOBSY WASHINGTON DC//00//
RULSADC/COMNAVDIST WASHINGTON DC//00//
RHMFIUU/COMNAVDIST WASHINGTON DC//00//
RUENAAA/FLDSUPPACT WASHINGTON DC//00//
RHMFIUU/FLDSUPPACT WASHINGTON DC//00//
RUWDHBA/NCTSI SAN DIEGO CA//00//
RHMFIUU/NCTSI SAN DIEGO CA//00//
RHFJFNS/PEOWTPO CHERRY PT NC//00//
RHMFIUU/PEOWTPO CHERRY PT NC//00//
RULSFAF/PEO CARRIERS WASHINGTON DC//00//
RULSDMA/PEO SURFACE STRIKE WASHINGTON DC//00//
RULSSBI/PEO EXW WASHINGTON DC//00//
RULSSBG/PEO MUW WASHINGTON DC//00//
RULSSBE/PEO SUB WASHINGTON DC//00//
RULSDMA/PEO THEATER SURFACE COMBATANTS WASHINGTON DC DET B//
00//
RULSDMA/PEO THEATER SURFACE COMBATANTS WASHINGTON DC DET C//
00//
RUERNWC/PEO THEATER SURFACE COMBATANTS WASHINGTON DC DET D//
00//
RULSFAO/PEOASWASM PATUXENT RIVER MD//00//
RHMFIUU/PEOASWASM PATUXENT RIVER MD//00//
RULSFAP/PEOTACAIR PATUXENT RIVER MD//00//
RHMFIUU/PEOTACAIR PATUXENT RIVER MD//00//
RULSWCB/COMNAVNETOPSCOM WASHINGTON DC//00/N6/N3//
RHMFIUU/COMNAVNETOPSCOM WASHINGTON DC//00/N6/N3//
INFO RUENAAA/SECNAV WASHINGTON DC//AAUSN//
RUENAAA/UNSECNAV WASHINGTON DC//JJJ//
RHHMUNA/USCINCPAC HONOLULU HI//J6//
RUCBACM/USCINCFCOM NORFOLK VA//J6//
RUEACMC/CMC WASHINGTON DC//ALS/C4/I&L/P&R/M&RA/PP&O/AVN/IPS//
RHMFIUU/CMC WASHINGTON DC//ALS/C4/I&L/P&R/M&RA/PP&O/AVN/IPS//
RUCBCLF/COMFLTFORCOM NORFOLK VA//01/N6//

RUCBLFB/COMMARFORLANT//G6//
RHMFIUU/COMMARFORLANT//G6//
RUHEHMS/COMMARFORPAC//G6//
RUENAAA/ASSTSECNAV RDA WASHINGTON DC//JJJ//
RHMFIUU/ASSTSECNAV RDA WASHINGTON DC//JJJ//
RHQEPAB/COMSECONDFLT
RUHPQUA/COMTHIRDFLT
RUFRRQJQ/COMSIXTHFLT
RHVFBKG/COMSEVENTHFLT
RUCOSSA/COMNAVAIRLANT NORFOLK VA//00/N6//
RUWFEEA/COMNAVAIRPAC SAN DIEGO CA//00/06//
RUCBTFA/COMNAVSURFLANT NORFOLK VA//00/06//
RUWDEAA/COMNAVSURFPAC SAN DIEGO CA//00/06//
RUCBKMC/COMSUBLANT NORFOLK VA//00/06//
RHHMDBA/COMSUBPAC PEARL HARBOR HI//00/06//
RUCOGAD/MSCNLNOLANT NORFOLK VA//00//
RHMFIUU/MSCNLNOLANT NORFOLK VA//00//
RHHMHBA/MSCLNOPAC PEARL HARBOR HI
RUWDMCK/COMNAVAIRWARCENWPNDIV CHINA LAKE CA//00//
RHMFIUU/COMNAVAIRWARCENWPNDIV CHINA LAKE CA//00//
RUCKFDA/NAVAIRSYS COM CHERRY PT NC//00//
RUWFPBB/NAVFACENGCOMDET NFI PORT HUENEME CA//00//
RULSACW/NAVSURFWARCENDIV DAHLGREN VA//00//
RHMFIUU/NAVSURFWARCENDIV DAHLGREN VA//00//
RULSAMS/NAVICP MECHANICSBURG PA//00//
RULSDMN/NAVICP PHILADELPHIA PA//00//
RUCCFVY/COMMARFORRES//G6//
RUCKALE/COMMARCORMATCOM ALBANY GA//G6//
RHMFIUU/COMMARCORMATCOM ALBANY GA//G6//
RUCCBWI/COMNAVCRUITCOM MILLINGTON TN//00//
RHMFIUU/COMNAVCRUITCOM MILLINGTON TN//00//
RULSMCF/COMMARCORSYS COM QUANTICO VA//C4/ISR/SEI//
RHMFIUU/COMMARCORSYS COM QUANTICO VA//C4/ISR/SEI//
RULSINP/NCTF-CND WASHINGTON DC//00/31//
RULSADG/NRL WASHINGTON DC//00/5500/5540/5544//
RHMFIUU/NRL WASHINGTON DC//00/5500/5540/5544//
RULSFAQ/PEOSTRKWPNSUAVN PATUXENT RIVER MD//00//
RHMFIUU/PEOSTRKWPNSUAVN PATUXENT RIVER MD//00//
RUENAAA/DON CIO WASHINGTON DC//00//
RHMFIUU/DON CIO WASHINGTON DC//00//
RUWDHBV/PEO IT WASHINGTON DC//00//
RHMFIUU/PEO IT WASHINGTON DC//00//
RULSMDC/MITNOC QUANTICO VA//OPS//
RHMFIUU/MITNOC QUANTICO VA//OPS//
RULSAAL/NAVMEDINFOMGMTCCEN BETHESDA MD//42//

BT

UNCLAS SECTION 01 OF 04

MSGID/GENADMIN/CNO N09T/001-02//
SUBJ/NMCI LEGACY APPLICATIONS TRANSITION PROCESS//
REF/A/GENADMIN/CNO 09T WASHINGTON DC/061414ZJUL2001/003-01//
REF/B/GENADMIN/CNO 09T WASHINGTON DC/031345ZAUG01/005-01//
NARR/REFS A AND B ARE NAVY CIO MESSAGES 003-01 AND 005-01 AND
PROVIDE GUIDANCE FOR NMCI TRANSITION OF LEGACY APPLICATIONS AND
DIRECTED A ONE-TIME INVENTORY AND REPORTING OF LEGACY APPLICATIONS
AT ALL ECHELON II COMMANDS.//
POC/ALAND, DAVID/CAPTAIN/OPNAV NAVY CIO/LOC: WASHINGTON DC/TEL: 703-
604-6880//
AMPN/EMAIL: ALAND.DAVID@HQ.NAVY.MIL//
RMKS/1. EXECUTIVE SUMMARY. THE TRANSITION OF LEGACY APPLICATIONS TO
NMCI IS A CRITICAL STEP FORWARD IN OUR ABILITY TO REALISTICALLY
PERFORM INFORMATION RESOURCE MANAGEMENT. IT IS A LEADERSHIP ISSUE
WHICH REQUIRES YOUR IMMEDIATE AND DIRECT ATTENTION. STATUS OF EACH
ECHELON II COMMAND'S IDENTIFICATION, RATIONALIZATION, AND SUBMISSION
OF APPLICATIONS FOR CERTIFICATION AND ACCREDITATION WILL BE REPORTED
TO THE CNO AND SECNAV ON A WEEKLY BASIS. THIS MESSAGE BOTH MANDATES
THE USE OF THE LEGACY APPLICATION TRANSITION GUIDE (LATG) AND
MODIFIES LATG, PROVIDING DETAILED SUBMISSION DATES AND PROCEDURES
THAT MUST BE FOLLOWED. THE MODIFICATION OF THE LATG SEPARATES THE
NMCI CERTIFICATION PROCESS FROM THE FINAL ACCREDITATION PROCESS AND
DELIVERS APPLICATIONS WHICH WILL OPERATE ON NMCI SEATS WITHOUT
COMPROMISING SECURITY. REGRET THE LENGTH OF MESSAGE BUT THIS
PROCESS CHANGE REQUIRES DETAIL AND CLARITY.
2. ECHELON II COMMANDERS ARE EACH RESPONSIBLE FOR THE
IDENTIFICATION, RATIONALIZATION, AND SUBMISSION FOR CERTIFICATION
AND ACCREDITATION OF THEIR APPLICATIONS. A CENTRAL DATABASE HAS
BEEN ESTABLISHED FOR ALL NAVY APPLICATIONS. ACCESS TO THE DATABASE
IS VIA A WEBSITE REQUIRING A USER PASSWORD. A SEPARATE MESSAGE WILL
PROVIDE INFORMATION ON HOW ACCESS TO THE DATABASE CAN BE OBTAINED
VIA EACH INDIVIDUAL'S ECHELON II COMMAND TO READ AND/OR WRITE TO THE
DATABASE. A REVIEW OF THIS DATABASE INDICATES THAT MOST NMCI
INCREMENT 1.0 AND 1.5 COMMANDS HAVE NOT COMPLETED THE REQUIRED DATA
SUBMISSION IN SUPPORT OF NMCI IMPLEMENTATION. ADDITIONALLY, MOST
COMMANDS SCHEDULED FOR NMCI INCREMENT 2.0 AND BEYOND HAVE SUBMITTED
INCOMPLETE DATA WHICH COULD IMPACT NMCI IMPLEMENTATION. SITE
TRANSITION EXECUTION MANAGER (STEM), ENTERPRISE APPLICATIONS GROUP
FOR LEGACY & EMERGING (EAGLE) AND INFORMATION ASSURANCE TIGER TEAM
(IATT) PERSONNEL HAVE BEEN FIELDIED TO ASSIST TRANSITIONING SITES
WITH THIS TASK. HOWEVER, THE SPEED AT WHICH LEGACY APPLICATIONS ARE
IDENTIFIED, RATIONALIZED (REDUCED IN NUMBER), TESTED, CERTIFIED, AND
ACCREDITED MUST BE IMPROVED. THERE ARE THREE KEYS TO IMPROVING THIS
PROCESS. THE FIRST IS TO ENSURE EACH ECHELON II COMMAND MAINTAINS
THEIR APPLICATION DATA CURRENT AND ACCURATE IN THE APPLICATION
DATABASE TO ELIMINATE DUPLICATION OF EFFORT ACROSS THE NAVY
ENTERPRISE. APPLICATIONS CERTIFIED AND ACCREDITED UNDER THE LATG

PROCESS DO NOT REQUIRE DUPLICATE CERTIFICATION AND ACCREDITATION AT SUBSEQUENT COMMANDS/SITES. (REQUEST FOR SERVICE (RFS) SUBMISSION IS STILL REQUIRED BY SUBSEQUENT COMMANDS/SITES TO DOCUMENT APPLICATION USE AND PREVIOUSLY ACCOMPLISHED CERTIFICATION.) THE SECOND KEY IS A REDUCTION IN THE NUMBER OF LEGACY APPLICATIONS ACHIEVED BY ECHELON II RATIONALIZATION AND NAVY TRIAGE PROCESS. (DATA COLLECTED THUS FAR SHOWS APPROXIMATELY 10-20 PERCENT OF ALL APPLICATIONS CURRENTLY CERTIFIED AND ACCREDITED DO NOT HAVE ANY IDENTIFIED USERS, WHICH HAS RESULTED IN A NEEDLESS EXPENDITURE OF SCARCE RESOURCES.) THE THIRD KEY IS A REDUCTION IN THE REQUIREMENTS FOR INCLUDING LEGACY APPLICATIONS AT NMCI SEAT CUTOVER, BUT NOT A REDUCTION IN REQUIREMENTS FOR LEGACY APPLICATION ACCREDITATION. IN ORDER TO REDUCE THE IMPACT TO NMCI SEAT ROLLOUT, THE APPLICATION TRANSITION PROCESS IS MODIFIED PER PARAGRAPH 3 AND ACTION IDENTIFIED IN PARAGRAPH 4 IS MANDATORY.

3. LEGACY APPLICATION TRANSITION PROCESS MODIFICATION. THE FOLLOWING PROCESS MODIFICATIONS ARE EFFECTIVE IMMEDIATELY AND SHALL BE FOLLOWED IN CONJUNCTION WITH THE LATG:

A. GENERAL: THE CURRENT LEGACY APPLICATION PROCESS ENTAILS SITE IMPLEMENTATION VICE COMMAND IMPLEMENTATION DURING THE LEGACY APPLICATION IDENTIFICATION AND THE RATIONALIZATION PROCESSES AND THE COMPLETION OF THE CERTIFICATION PROCESS (COMPATIBILITY WITH THE NMCI OPERATING ENVIRONMENT) AND THE SYSTEM SECURITY AUTHORIZATION AGREEMENT (SSAA) PRIOR TO COMMENCING CUTOVER OF SEATS. THE NEW PROCESS REQUIRES ECHELON II CHAIN OF COMMAND (COC) INVOLVEMENT DURING THE IDENTIFICATION AND THE RATIONALIZATION PROCESSES AND DIVIDES THE CERTIFICATION PROCESS INTO TWO PHASES, THE NMCI CERTIFICATION PHASE AND THE RISK MITIGATION (ACCREDITATION) PHASE.

B. IDENTIFICATION AND RATIONALIZATION: NEW PROCESS REQUIRES SUBORDINATE COMMANDS TO SUBMIT THEIR RATIONALIZED LIST OF APPLICATIONS VIA THEIR COC TO THEIR ECHELON II FOR RATIONALIZATION ACROSS THE ECHELON II'S ENTERPRISE. IT REQUIRES A WEEKLY REPORTING OF EACH COMMAND'S PROGRESS IN COMPLETING SUBMISSION REQUIREMENTS FOR THE CERTIFICATION PROCESS.

C. NMCI CERTIFICATION: IN THE NEW PROCESS THE NMCI CERTIFICATION PHASE INCLUDES THE DEVELOPMENT OF RATIONALIZED LISTS OF APPLICATIONS, THE SUBMISSION OF REQUESTS FOR SERVICE (RFS) AND MEDIA FOR THOSE APPLICATIONS, THE SUBMISSION OF THE CERTIFICATION PHASE ENGINEERING REVIEW QUESTIONNAIRE (ERQ), THE ACTUAL CERTIFICATION TESTING OF THE APPLICATION, AND THE SUBMISSION OF AN IATT VULNERABILITY ASSESSMENT LETTER TO THE NMCI DESIGNATED APPROVAL AUTHORITY (DAA) (CAPTAIN BOB WHITKOP, COMMANDER NAVY NETWORK OPERATIONS COMMAND (CNNOC)). THE NMCI CERTIFICATION PROCESS CULMINATES IN THE ISSUANCE OF AN INTERIM AUTHORITY TO OPERATE (IATO) LETTER FROM THE NMCI DAA. THE IATO WILL CONTAIN LIMITATIONS ON THE OPERATION OF EACH APPLICATION AND REQUIRE INFORMATION ASSURANCE RISK MITIGATION ACTIONS TO BE ACCOMPLISHED WITHIN TIME SPECIFIED IN

PARAGRAPH 3.D. D. CERTIFICATION PROCESS CHANGES: THE PACING FACTOR FOR MUCH OF THE NMCI CERTIFICATION PROCESS WILL CONTINUE TO RELY ON OBTAINING THE RFS, MEDIA, AND COMPLETION OF THE ERQ FOR EACH COMMAND'S APPLICATIONS AT EACH SITE. IT IS ESSENTIAL THAT BOTH THE APPLICABLE ECHELON II COMMANDS AND THE SITE ENSURE THIS DATA IS SUBMITTED IN A TIMELY MANNER IN ORDER TO SUPPORT THE NMCI IMPLEMENTATION SCHEDULE. THE NMCI CERTIFICATION ERQ AND THE RISK MITIGATION (ACCREDITATION) ERQ INCLUDE ALL THE DATA REQUIREMENTS OF THE ORIGINAL ERQ. HOWEVER, THE NMCI CERTIFICATION ERQ HAS BEEN SIGNIFICANTLY REDUCED IN SIZE AND COMPLEXITY TO EXPEDITE THE SEAT CUTOVER PROCESS, WHILE COLLECTING THE NECESSARY INFORMATION TO ENSURE NETWORK SECURITY IS MAINTAINED AT CUTOVER. THE NMCI CERTIFICATION TESTING HAS ALSO BEEN STREAMLINED. EACH APPLICATION WILL UNDERGO AN ON SITE POP-IN-A-BOX (PIAB) TEST TO ENSURE COMPATIBILITY WITH THE MICROSOFT WIN2K OPERATING SYSTEM, DESKTOP GROUP POLICY OBJECT (GPO), SECURITY COMPONENTS AND SETTINGS. THE PIAB TESTING WILL ALSO PROVIDE CONNECTIVITY REQUIREMENTS BETWEEN CLIENT AND SERVER AT THE PROTOCOL, SERVICE AND PORT LEVEL. ADDITIONAL PERSONNEL AND EQUIPMENT WILL BE ASSIGNED BY THE PMO AND THE NMCI CONTRACTOR TO THE EXISTING TEAMS IN ORDER TO CONDUCT THIS ON SITE PIAB TESTING AND DOCUMENTATION AT A GREATER NUMBER OF SITES CONCURRENTLY. BASED ON THIS INFORMATION GAINED FROM THE PIAB TESTING, THE IATT WILL PROVIDE A RISK ASSESSMENT OF EACH APPLICATION AS FOLLOWS:

- LOW RISK - COMPLIANT WITH NAVY/MARINE CORPS ENCLAVE PROTECTION POLICY AND ACCREDITED.
- MEDIUM RISK - OUTBOUND TCP COMMUNICATION REQUIREMENTS NOT ALREADY PERMITTED.
- HIGH RISK - TWO WAY IP/TCP/UDP COMMUNICATION REQUIREMENTS NOT ALREADY PERMITTED.
- VERY HIGH RISK - UNACCEPTABLE PROTOCOLS OR REQUIREMENTS. MANDATORY KIOSK MITIGATION UNTIL DISCONTINUED OR REENGINEERED. THESE RISKS WILL BE CAPTURED BY THE ON-SITE IATT REPRESENTATIVES AND FORWARDED TO THE NMCI DAA IN THE VULNERABILITY ASSESSMENT LETTER. WHEN ALL CERTIFICATION TESTING RESULTS FOR A SITE ARE AVAILABLE TO THE NMCI DAA, HE WILL ISSUE A TYPE ACCREDITED BOUNDARY 2 FIREWALL POLICY FOR THE SITE AND ISSUE AN IATO COVERING THE SUITE OF APPLICATIONS AT THAT SITE. IN ADDITION TO THE VULNERABILITY ASSESSMENT RESULTS DISCUSSED ABOVE, THE IATO WILL CONSIDER THE STATE OF AVAILABLE SSAA DOCUMENTATION FOR EACH APPLICATION IN ACCORDANCE WITH THE FOLLOWING BOUNDARY 1 FIREWALL COMPLIANCE CATEGORIES:
 - CATEGORY 1 - CLIENT APPLICATION IS NMCI CERTIFIED AND USES TRUSTED COMMUNICATIONS WITH THE SUPPORTING SERVER. EITHER THE SERVER APPLICATION OR BOTH CLIENT AND SERVER PORTIONS OF THE APPLICATION HAVE CERTIFICATION AND ACCREDITATION (C&A) PACKAGES AND CAN BE MOVED INTO NMCI TRUSTED ENCLAVE. SSAA PACKAGE IS COMPLETE AND THE APPLICATION IS ACCREDITED.

- CATEGORY 2 - CLIENT APPLICATION IS NMCI CERTIFIED, BUT CONCERNS EXIST ABOUT COMMUNICATIONS WITH THE SERVER BECAUSE OF A LACK OF COMPLETED DOCUMENTATION (NO DEPARTMENT OF DEFENSE (DOD) C&A.) SERVER APPLICATION IS NMCI CERTIFIED, BUT CONCERNS EXIST WITH THE SERVER'S COMMUNICATION WITH OTHER SERVERS AND/OR CLIENTS BECAUSE OF THE LACK OF COMPLETED DOCUMENTATION (NO DOD C&A.) NO BOUNDARY 2 MODIFICATIONS ARE REQUIRED. RISK IS MINIMIZED IF BOTH CLIENT AND SERVER ARE PLACED WITHIN NMCI ENCLAVE VICE LEAVING THE SERVER OUTSIDE THE NMCI ENCLAVE.

- CATEGORY 3 - CLIENT IS NMCI CERTIFIED, BUT SERVER HAS UNTRUSTED COMMUNICATION REQUIREMENTS TO NON-NMCI USERS FOR THE RISK MITIGATION (ACCREDITATION) PHASE OR LONGER. SERVER APPLICATION IS NMCI CERTIFIED, BUT THE SERVER HAS UNTRUSTED COMMUNICATION REQUIREMENTS TO NON-NMCI SERVERS AND/OR USERS FOR THE RISK MITIGATION (ACCREDITATION PHASE OR LONGER. BOUNDARY 2 FIREWALL MODIFICATION IS REQUIRED.

- CATEGORY 4 - CLIENT APPLICATION MAY OR MAY NOT BE CERTIFIED, AND THERE IS UNTRUSTED COMMUNICATIONS BETWEEN CLIENT AND SERVER OR SERVER AND SERVER OR APPLICATION CLIENT/SERVER IS ACCESSIBLE TO THE GENERAL PUBLIC. NO DOD C&A. SYSTEM CAN EITHER BE SUNSET-ED OR KIOSKED. A RULE SET GOVERNING SUPPORT FOR KIOSKED APPLICATIONS WILL BE PROVIDED SEPARATELY. BASED ON BOUNDARY 1 FIREWALL COMPLIANCE CATEGORY AND THE RISK LEVEL, THE NMCI DAA WILL ISSUE AN IATO REQUIRING SPECIFIC ACTIONS AND LIMIT THE AUTHORITY TO OPERATE FOR A SPECIFIED TIME PERIOD AS FOLLOWS:

CATEGORY 1 - LENGTH OF AUTHORITY TO OPERATE (ATO) (NORMALLY 3 YEARS).

CATEGORY 2 - ONE YEAR

CATEGORY 3 - SUBMIT PLAN OF ACTION MILESTONES (POA&M) FOR MIGRATION VIA APPLICABLE ECHELON II COMMAND WITHIN SIX MONTHS TO NAVY CIO FOR APPROVAL/DISAPPROVAL AND ANNUAL REVIEW.

CATEGORY 4 - POA&M SUBMITTED FOR MITIGATION/MIGRATION VIA APPLICABLE ECHELON II DUE WITHIN THREE MONTHS TO NAVY CIO. MIGRATION/TERMINATION MUST BE COMPLETED WITHIN NINE MONTHS.

E. RISK MITIGATION (ACCREDITATION): THE SECOND PHASE OF THE PROCESS COMMENCES AFTER NMCI SEAT CUTOVER AND INVOLVES THE COMPLETION OF THE RISK MITIGATION (ACCREDITATION) ERQ, FURTHER DEVELOPMENT OF RISK MITIGATION STRATEGIES, SUBMISSION OF POA&M FOR EACH APPLICABLE APPLICATION IAW IATO REQUIREMENTS, EXECUTION OF APPLICATION MITIGATION ACTION AND COMPLETION OF SSAA DOCUMENTATION. FAILURE TO COMPLETE REQUIRED MITIGATION ACTIONS (SUBMIT POA&M AND MEET TIMELINES) WILL RESULT IN EITHER DENIAL OF APPLICATION USE ON NMCI BY THE NAVY CIO OR POTENTIAL ADDITIONAL MITIGATION REQUIREMENTS. THE PRIMARY TASKS IN THIS PHASE ARE THE MITIGATIONS OF THE RISKS IDENTIFIED DURING THE CERTIFICATION PHASE AND THE COMPLETION OF THE SSAA DOCUMENTATION REQUIRED FOR CERTIFICATION. THERE HAVE BEEN MANY TECHNIQUES ALREADY DEVELOPED BY THE NMCI CONTRACTOR (INFORMATION

STRIKE FORCE (ISF)) FOR CATEGORY 3 APPLICATIONS TO MITIGATE RISKS UNTIL THE SERVER CAN BE TRANSITIONED INTO THE NMCI ENCLAVE. COMPLETION OF RISK MITIGATION IS THE RESPONSIBILITY OF THE APPLICABLE ECHELON II COMMAND, INCLUDING APPLICABLE SUBORDINATE COMMANDS, THE CENTRAL DESIGN ACTIVITY (AS DESIGNATED IN PARAGRAPH 4.B) AND THE ISF. SSAA DOCUMENTATION IS SUBMITTED BY ECHELON II COMMAND OR CDA, AS APPROPRIATE, TO NMCI PROGRAM MANAGEMENT OFFICE (PMO) FOR INITIAL REVIEW AND EVALUATION PRIOR TO SUBMISSION TO THE NMCI DAA FOR APPROVAL. FOR CATEGORY 4 APPLICATIONS, A RECOMMENDATION TO MIGRATE OR TERMINATE THE APPLICATION WILL BE MADE AND APPROVED BY THE NAVY CIO. FOR ALL APPLICATIONS THAT ARE TO REMAIN IN THE NMCI, THE SSAA DOCUMENTATION WILL BE DEVELOPED BY THE ECHELON II COMMAND OR CDA, AS APPROPRIATE, AND SUBMITTED TO NMCI PMO FOR INITIAL REVIEW AND EVALUATION PRIOR TO SUBMISSION TO THE NMCI DAA FOR APPROVAL.

F. NAVY TRIAGE PROCESS: AN APPLICATION TRIAGE PROCESS, WHICH WILL BE DESIGNED TO REDUCE THE OVERALL NUMBER OF NAVY APPLICATIONS, WILL RUN CONCURRENTLY WITH NMCI CERTIFICATION AND THE RISK MITIGATION (ACCREDITATION) PHASES AT THE ECHELON II AND NAVY CIO LEVEL. THE PRODUCT OF THE NMCI CERTIFICATION PHASE, BOUNDARY 1 FIREWALL COMPLIANCE CATEGORY AND RISK LEVEL, WILL BE USED TO ESTABLISH PRIORITIES AND IDENTIFY APPLICATIONS FOR ELIMINATION DURING THE TRIAGE PROCESS. THIS PROCESS WILL BE ADDRESSED SEPARATELY.

4. ACTION AND RESPONSIBILITIES. IN ORDER FOR THE MODIFIED PROCESS DEFINED IN PARAGRAPH 3 TO BE EFFECTIVE THE FOLLOWING SPECIFIC ACTION AND RESPONSIBILITIES ARE REQUIRED:

A. ECHELON II ARE RESPONSIBLE TO ENSURE THEY AND THEIR SUBORDINATE COMMANDS COMPLY WITH THE FOLLOWING:

(1) 60 DAYS PRIOR TO AOR, THE APPLICABLE COMMAND DELIVERS THE COMPLETED LIST OF ALL COTS AND GOTS APPLICATIONS REQUIRED TO OPERATE ON NMCI AND RATIONALIZED BY THEIR ECHELON II COMMAND. 50 PERCENT OF ALL GOTS APPLICATIONS MUST BE DELIVERED AND ACCEPTED BY THE ISF FOR CERTIFICATION.

(2) 45 DAYS PRIOR TO AOR, THE APPLICABLE COMMAND SHALL HAVE 75 PERCENT OF IDENTIFIED APPLICATIONS (COTS AND GOTS) DELIVERED AND ACCEPTED FOR CERTIFICATION.

(3) 30 DAYS PRIOR TO AOR, THE APPLICABLE COMMAND SHALL ENSURE ALL REMAINING IDENTIFIED APPLICATIONS (COTS AND GOTS) MUST BE SUBMITTED AND ACCEPTED FOR CERTIFICATION. APPLICATIONS NOT SUBMITTED BY THIS DEADLINE WILL NOT TRANSITION TO NMCI ON THE SCHEDULED CUTOVER DATE.

(4) 14 DAYS PRIOR TO START OF CUTOVER, ALL APPLICATIONS REQUIRED FOR CUTOVER ARE CERTIFIED.

(5) ALL INCREMENT 1.0 COMMANDS MUST HAVE COMPLETED SUBMISSION OF THEIR FINALIZED RATIONALIZED APPLICATION LIST TO NMCI PMO. THE APPROPRIATE ECHELON II COMMAND SHOULD HAVE PREVIOUSLY APPROVED THIS RATIONALIZED LIST OR DO SO NLT 21 MARCH 2002.

(6) ALL INCREMENT 1.5 COMMANDS MUST HAVE THEIR RATIONALIZED LIST OF

APPLICATIONS SUBMITTED VIA THEIR APPLICABLE ECHELON II COMMAND NLT
21 MARCH 2002.

(7) APPLICATIONS SHALL BE SUBMITTED AS NOTED ABOVE USING THE REQUEST FOR SERVICE (RFS) AND CERTIFICATION PHASE ERQ. ALL DATA ELEMENTS IDENTIFIED IN THE RFS AND IN THE CERTIFICATION PHASE AND THE RISK MITIGATION (ACCREDITATION) PHASE ERQ ARE MANDATORY IN ORDER FOR THIS SUBMISSION TO BE CONSIDERED COMPLETE.

B. DESIGNATION OF CDA: CRITICAL TO THE LEGACY APPLICATION PROCESS IS THE ASSIGNMENT OF RESPONSIBILITY FOR EVERY APPLICATION TO BE CERTIFIED OR ACCREDITED. THIS MESSAGE ASSIGNS RESPONSIBILITIES TO CENTRAL DESIGN ACTIVITIES (CDA) AND DETAILS THE PROCESS TO ASSIGN CDA RESPONSIBILITIES WHEN A DESIGNATED CDA DOES NOT EXIST. IN ORDER TO EXPEDITE THE CERTIFICATION AND ACCREDITATION PROCESS, CDA(S) FOR APPLICATIONS WHICH EXIST AT MORE THAN ONE SITE, SHALL SUBMIT SSAA DOCUMENTATION FOR THE APPLICABLE APPLICATION ONCE VICE REQUIRING DUPLICATION OF CDA RESPONSIBILITIES AT MULTIPLE SITES BY MULTIPLE ECHELON II COMMANDS. CDA HAS PRINCIPAL RESPONSIBILITY FOR DESIGN, DEVELOPMENT, DOCUMENTATION, AND LIFE CYCLE MAINTENANCE OF APPLICATIONS, INCLUDING INITIAL PRODUCT DELIVERY AND DISTRIBUTION OF UPDATES. ADDITIONALLY, CDA(S) RESOURCE AND MAINTAIN HELP DESK SERVICES FOR THEIR APPLICATIONS. THE PRIMARY DON CDA(S) ARE CONTROLLED BY NAVSEA, NAVAIR, SPAWAR, NAVSUP, NAVFAC, CNET, MARINE CORPS SYSTEMS COMMAND AND DISTRIBUTED TO SOME OF THEIR SUBORDINATE COMMANDS (E.G. NAVSUP HAS FLEET MATERIALS SUPPORT OFFICE (FMSO) PROVIDE THEIR CDA RESPONSIBILITIES). THE FOLLOWING CATEGORIES OF APPLICATIONS AND THEIR ASSOCIATED CDA IDENTIFICATION AND DESIGNATION RESPONSIBILITY ARE ASSIGNED:

(1) NAVY SYSTEM COMMAND DEVELOPED GOTS - APPLICABLE SYSCOM IS RESPONSIBLE FOR IDENTIFICATION, DESIGNATION OF THE CDA AND ENSURING SUBSEQUENT CDA RESPONSIBILITIES ARE ACCOMPLISHED IAW THIS MESSAGE.

(2) MARINE CORPS SYSTEM COMMAND DEVELOPED GOTS - APPLICABLE NAVY ECHELON II IS RESPONSIBLE FOR REPORTING THE APPLICATION ASSOCIATED SYSCOM TO NAVY CIO, MARINE CORPS CIO OFFICE AND NMCI PMO. THE NAVY CIO WILL WORK WITH MARINE CORPS CIO OFFICE AND NMCI PMO FOR CDA IDENTIFICATION, DESIGNATION OF THE CDA AND THE CDA'S COMPLETION OF REQUIRED ACTION.

(3) INDIVIDUAL COMMAND DEVELOPED GOTS - APPLICABLE ECHELON II IS RESPONSIBLE FOR IDENTIFICATION, DESIGNATION OF THE CDA AND THE CDA'S COMPLETION OF REQUIRED ACTION.

(4) OTHER SERVICE/JOINT/OSD AND OTHER AGENCY DEVELOPED GOTS - APPLICABLE ECHELON II IS RESPONSIBLE FOR IDENTIFICATION OF THE APPLICATION AND ITS SOURCE TO NAVY CIO AND NMCI PMO. THE NAVY CIO WILL WORK WITH DON CIO AND NMCI PMO FOR IDENTIFICATION AND DESIGNATION OF THE CDA AND THE CDA'S COMPLETION OF REQUIRED ACTION.

(5) NON-GOVERNMENT, NON-COMMERCIAL PRODUCTS - APPLICABLE ECHELON II WHOSE SUBORDINATE COMMAND PURCHASED THE PRODUCT FOR USE IS RESPONSIBLE FOR IDENTIFICATION AND DESIGNATION OF THE CDA AND THE

CDA'S COMPLETION OF REQUIRED ACTION.

(6) COMMERCIAL PRODUCTS (COTS) - APPLICABLE ECHELON II WHOSE SUBORDINATE COMMAND PURCHASED THE PRODUCT FOR USE IS RESPONSIBLE FOR IDENTIFICATION AND DESIGNATION OF THE CDA AND THE CDA'S COMPLETION OF REQUIRED ACTION. FOR APPLICATIONS CONTAINED IN THE NMCI BASIC DESKTOP SEAT, IDENTIFICATION AND DESIGNATION OF CDA IS NOT REQUIRED. IN THE FUTURE, AS ENTERPRISE APPLICATIONS ARE DESIGNATED AND CENTRALLY PURCHASED CDA RESPONSIBILITIES WILL BE ASSIGNED TO THE VENDOR AND INCLUDED IN THE ACQUISITION AGREEMENT.

C. ECHELON II AND CDA RESPONSIBILITIES: AS APPLICABLE, EACH ECHELON II COMMAND IS RESPONSIBLE FOR ENSURING THEIR CDA(S) SUBMIT THE APPLICATION SSAA DOCUMENTATION TO NMCI PMO. FOR EXAMPLE, THE CDA FOR THE NAVAL AIR LOGISTICS COMMAND MANAGEMENT INFORMATION SYSTEM (NALCOMIS) IS SPAWAR SO SPAWAR SHOULD ENSURE THE CDA FOR NALCOMIS COMPLIES WITH THE REQUIREMENTS OF THIS MESSAGE. AS A JOINT EXAMPLE, THE CDA FOR THE DEFENSE MESSAGE SYSTEM (DMS) IS DISA, SO ECHELON II REPORTS THE SOURCE OF DMS AS DISA AND THE NAVY CIO WILL WORK WITH DON CIO TO ENSURE DISA HAS THEIR DMS CDA COMPLY WITH THE REQUIREMENTS OF THIS MESSAGE. THESE KNOWN CDA(S) SHOULD BE PROVIDING THE REQUIRED SSAA DOCUMENTATION AND THE NMCI PMO WILL CONDUCT AN INITIAL REVIEW OF THE SSAA FOR CONSIDERATION AS ADEQUATE DOCUMENTATION FOR ALL NMCI COMMAND AND SITE IMPLEMENTATIONS. THIS CDA SUBMITTED SSAA DOCUMENTATION WILL BE EVALUATED AND ASSIGNED THE FOLLOWING STATUS: (A) SATISFACTORY AS A SSAA FOR NMCI, OR (B) NOT SATISFACTORY AS A SSAA FOR NMCI BUT DOES INCLUDE THE NECESSARY INFORMATION FOR COMPLETION OF THE NMCI CERTIFICATION PROCESS, OR (C) INCOMPLETE. SATISFACTORY SSAA'S WILL BE SUBMITTED TO THE NMCI CERTIFICATION AND ACCREDITATION REVIEW PANEL (NCARP) FOR ENTERPRISE ACCREDITATION. IF THIS CDA SUBMITTED SSAA DOCUMENTATION IS NOT A SATISFACTORY SSAA FOR NMCI OR IS INCOMPLETE, NMCI PMO WILL REPORT THIS TO THE NMCI DAA, THE LOCAL DAA(S) AND CAUSE A FORMAL MESSAGE TO BE PREPARED TASKING THE APPLICABLE CDA TO TAKE ACTION TO ENSURE MINIMUM REQUIREMENTS FOR SEAT CUTOVER ARE COMPLETED IMMEDIATELY. THE CDA MUST ENSURE SUBSEQUENT SSAA DOCUMENTATION IS COMPLETED IN ACCORDANCE WITH THE IATO TIME REQUIREMENTS FOR THE SPECIFIC APPLICATION. THE FOLLOWING SPECIFIC ACTION AND RESPONSIBILITIES NEED TO BE FOLLOWED BY THE CDA(S):

- MUST HAVE COMPLETED SUBMISSION OF AN RFS FOR EACH APPLICATION NLT 21 MARCH 2002 USING THE NMCI APPLICATION DATABASE. (THE 21 MARCH 2002 DATE DOES NOT APPLY TO AN APPLICATION, WHICH NEVER HAD A DESIGNATED CDA. FOR THESE APPLICATIONS, THE NEWLY DESIGNATED CDA SHOULD PROVIDE THE RFS DOCUMENTATION NLT 11 MAY 2002.)

- MUST HAVE COMPLETED SUBMISSION OF ALL CLIENT MEDIA TO THE ISF NLT 21 APRIL 2002. (THE 21 APRIL 2002 DATE DOES NOT APPLY TO AN APPLICATION WHICH NEVER HAD A DESIGNATED CDA. FOR THESE APPLICATIONS, THE NEWLY DESIGNATED CDA SHOULD PROVIDE THE

CLIENT MEDIA NLT 11 MAY 2002.)

- FOR SUBSEQUENT UPGRADES/PATCHES TO EXISTING APPLICATIONS, MUST SUBMIT AN RFS USING THE NMCI APPLICATION DATABASE AND SUBMIT MEDIA TO THE ISF FOR CERTIFICATION. EACH CDA IS RESPONSIBLE FOR INDEPENDENT CERTIFICATION AND ACCREDITATION OF ANY CHANGES TO THEIR APPLICATIONS AS DESIGNATED BY THE NMCI DAA. FURTHER DETAILS ON A NMCI FOLLOW-ON CERTIFICATION AND ACCREDITATION PROCESS ARE BEING DEVELOPED AND WILL BE PROVIDED SEPARATELY.

- MUST CHANGE APPLICATION DISTRIBUTION AND NOTIFICATION PROCESSES. FOR AN INTERIM PERIOD, THEY MUST DEVELOP PARALLEL PROCESSES FOR NMCI AND NON-NMCI SITES. FOR NMCI SITES, APPLICATIONS MUST NOT BE DELIVERED TO SITES WITHOUT FIRST UNDERGOING NMCI CERTIFICATION AND ACCREDITATION.

- MUST STANDARDIZE APPLICATIONS TO REDUCE MULTIPLE VERSIONS. IMPLEMENTATION OF APPLICATIONS ON NMCI SHOULD GREATLY FACILITATE THESE EFFORTS.

- MUST ENSURE DESIGNATED HELP DESK SUPPORT ACTIVITIES ARE WORKING SEAMLESSLY WITH NMCI HELP DESKS. WORKING GROUPS ARE IN THE PROCESS OF DEFINING NMCI AND LEGACY SYSTEM HELP DESK PROCESSES. EACH CDA WILL BE CONTACTED TO PARTICIPATE.

- MUST COMPLETE REQUIRED SSAA DOCUMENTATION FOR ALL APPLICATIONS INTENDED FOR CONTINUED USE ON NMCI. FOR INCREMENT 1.0 AND 1.5 SITES, THE SSAA DOCUMENT IS REQUIRED BY 01 AUGUST 2002. FOR ALL OTHER APPLICATIONS, THE SSAA IS REQUIRED NLT 01 SEPTEMBER 2002.

D. REPORTING: THE PRIMARY TOOL TO ENSURE ACCURATE REPORTING OF LEGACY APPLICATIONS STATUS IS THE NMCI DATABASE. EACH ECHELON II COMMAND WILL TAKE APPROPRIATE ACTION TO ENSURE ALL DATA ELEMENT ENTRIES ARE COMPLETE, ACCURATE, AND MAINTAINED CURRENT FOR THEIR COMMAND AND SUBORDINATE COMMANDS. THIS INCLUDES THE IDENTIFICATION OF EACH APPLICATION'S CDA. THE NMCI PMO WILL CONSOLIDATE THIS DATA FOR WEEKLY REPORTING TO SECNAV AND CNO. REPORTS WILL REFLECT STATUS OF RATIONALIZATION, RFS SUBMISSION, CERTIFICATIONS, ACTION PLANS, AND SSAA DOCUMENTATION.

5. SPECIFIC NAVY POLICY, GUIDANCE AND GOALS WILL BE PROVIDED SHORTLY CONCERNING THE FURTHER REDUCTION OF LEGACY APPLICATIONS AND THE ELIMINATION OF LEGACY NETWORKS REQUIRED TO SUPPORT KIOSKED APPLICATIONS.

6. THIS PROCESS MODIFICATION IS REQUIRED TO FACILITATE THE IMPLEMENTATION AND COMPLETION OF NMCI WITHIN DON. YOUR PERSONAL ATTENTION AND SUPPORT IS REQUESTED TO ENSURE SUCCESS.

7. RELEASED BY VADM R. W. MAYO, USN.//

BT